



SECOND #3

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Yoshiaki NANKO

Serial No.: 09/991,880

Filed: November 26, 2001

For: FRONT DERAILLEUR FOR BICYCLE

:
: **Attn.: BOX MISSING PARTS**
:
: Patent Art Unit: 3682
:
:

COMPLETION OF APPLICATION UNDER 37 CFR §1.53

BOX MISSING PARTS

Assistant Commissioner of Patents
Washington, DC 20231

Sir:

In response to the Communication dated December 6, 2001, copy attached, submitted herewith are:

- [X] An executed Declaration and Power of Attorney.
- [X] An Assignment of the invention to Shimano Inc.
- [X] An executed Certificate under 37 C.F.R. 3.73(b).
- [X] A check in the amount of \$928.00 is attached to cover the filing fee of \$740.00, the additional claim fee of \$18.00 the surcharge fee of \$130.00, and the Assignment recording fee of \$40.00.
- [X] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.: 50-1836. A duplicate copy of this sheet is attached.
 - [X] Any additional patent application processing fees under 37 CFR 1.16.
 - [X] Any additional patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

David L. Tarnoff
Attorney of Record
Reg. No. 32,383

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Dated: 12/17/01

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,880	11/26/2001	Yoshiaki Nanko	SN-US015166

22919
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1233 20TH STREET, N.W. SUITE 700
WASHINGTON, DC 20036-2680

CONFIRMATION NO. 3242

FORMALITIES LETTER



OC000000007164608

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$18.
 - \$18 for 1 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 888.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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740.00 OP
18.00 OP
130.00 OP